Introductory sub-table (I)(a)

| Name of the Company: ION EXCHA | NGE (INDIA) LIMITED | | |
|--------------------------------------|-------------------------------|------------------------------------|--|
| Scrip Code, Name of the scrip, class | | QUITY | |
| Quarter ended: 30th September 201 | 12 | | |
| | | As a % of total no. of partly | As a % of total no. of shares of |
| Partly paid-up shares:- | No. of partly paid-up shares | paid-up shares | the company |
| i artiy paid-up shares | No. of partiy paid-up shares | paid-up silates | the company |
| Held by promoter/promoter grou | 0 | | 0 |
| Held by public | 0 | | 0 (|
| | | | |
| Total - D | 0 | | 0 |
| | | | |
| | | As a % of total no. of | As a % of total no. of shares of |
| | | | the company, assuming full conversion of the convertible |
| Outstanding conventible conveition. | No of cutotonding convities | outstanding convertible securities | securities |
| Outstanding convertible securities: | No. or outstanding securities | securities | securities |
| Held by promoter/promoter group | 1 0 | | 0 |
| Held by public | 0 | | 0 (|
| , | | | |
| Total - E | 0 | | 0 |
| | | | |
| | | | As a % of total no. of shares of |
| | N 6 | As a % of total no. | the company, assuming full |
| Warrants:- | No. of warrants | of warrants | conversion of warrants |
| Held by promoter/promoter group | 0 | 1 | 0 |
| Held by public | 0 | | 0 (|
| Tiola by pablic | | | |
| Total - F | 0 | | 0 |
| | | | • |
| Total paid-up capital of the | | | |
| company, assuming full | | | |
| conversion of warrants and | | | |
| convertible securities | 13579161 | | 100 |

| | | Statemen | | g Shareholdin Die (1)(a) | g Pattern | | | | | |
|----------------------|---|---------------------------|------------------------------|--|-----------------|-----------------|------------------|-----------------------|--|--|
| Categ ory code | Category of Shareholder | Number of Shareholders | Total number of shares | otal Number of Total shareholding a nber shares held in percentage of total | | ge of total | encumbered | | | |
| | | | | | As a percentage | As a percentage | Number of shares | As a percentage | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX)= (VIII)/(IV)*100 | | |
| (A) | Shareholding of Promoter and Promoter Group ² | | | | | | | | | |
| 1 | Indian | | | | | | | | | |
| (a) | Individuals/ Hindu Undivided Family | 17 | 2229921 | 2229871 | 16.42 | 16.42 | 0 | 0.00 | | |
| (b) | Central Government/ State Government(s` | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | | |
| (c) | Bodies Corporate | 3 | 614958 | 287058 | 4.53 | 4.53 | 0 | 0.00 | | |
| (d) | Financial Institutions/ Banks | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | | |
| (e) | Any Others – Trust | 108 | 2672514 | 122500 | 19.68 | 19.68 | 0 | 0.00 | | |
| | Sub Total(A)(1) | 128 | 5517393 | 2639429 | 40.63 | 40.63 | 0 | 0.00 | | |
| 2 | Foreign | | | | | | | | | |
| a | Individuals (Non-Residents Individuals | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | | |
| b | Bodies Corporate Institutions | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | | |
| c d | Any Others(Specify) | 0 | ő | 0 | 0.00 | 0.00 | 0 | 0.00 | | |
| | Sub Total(A)(2) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | | |
| | | | | | | | | | | |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | 128 | 5517393 | 2639429 | 40.63 | 40.63 | 0 | 0.00 | | |
| (B) | Public shareholding | | | | | | | | | |
| 1 | Institutions | 13 | 5234 | 50 | 0.04 | 0.04 | | | | |
| (a) (b) | Mutual Funds/ UTI Financial Institutions Banks | | | | | | | | | |
| (c) | Central Government/ State | 5 | 377 | 283 | 0.00 | 0.00 | | | | |
| (d) | Venture Capital Funds | 0 | 0 | 0 | 0.00 | 0.00 | | | | |
| (e) | Insurance Companies | 1 | 166230 | 166230 | 1.22 | 1.22 | | | | |
| (f) | Foreign Institutional Investors | 1 | 50 | 0 | 0.00 | 0.00 | | | | |
| (g) | Foreign Venture Capital Investors | 0 | 0 | 0 | 0.00 | 0.00 | | | | |
| (h) | Any Other (specify) | | | | 0.00 | 0.00 | | | | |
| | Sub-Total (B)(1) | 20 | 171891 | 166563 | 1.27 | 1.27 | | | | |
| B 2 | Non-institutions | | | | | | | | | |
| (a) | Bodies Corporate | 367 | 1363639 | 1353892 | 10.04 0.00 | 10.04 0.00 | | | | |
| (b) | Individuals i. Individual shareholders holding | | | | 0.00 | 0.00 | | | | |
| I | nominal share capital up to Rs 1 lakh | 13275 | 3590297 | 2952421 | 26.44 | 26.44 | | | | |
| | ii. Individual shareholders holding nominal share capital in excess of Rs. 1 | | | | | | | | | |
| II | lakh. | 67 | 2849726 | 2777292 | 20.99 | 20.99 | | | | |
| (c) | Any Other (specify) | 1 | 1010 | 1010 | 0.00 | 0.00 | | | | |
| (c-i) | Trust | 1 | 1010 | 1010 | 0.01 | 0.01 | | | | |
| (c-ii) | NRIs | 182 | 85205 | 83598 | 0.63 | 0.63 | | | | |
| | | 12002 | #0000## | #1/0212 | 50.10 | 50.10 | | | | |
| | Sub-Total (B)(2) | 13892 | 7889877 | 7168213 | 58.10 | 58.10 | | | | |

| (B) | Total Public Shareholding (B)= | 13912 | 8061768 | 7334776 | 59.37 | 59.37 | | |
|-----|--------------------------------|-------|----------|---------|--------|--------|---|------|
| | | | | | | | | |
| | TOTAL (A)+(B) | 14040 | 13579161 | 9974205 | 100.00 | 100.00 | | |
| | | | | | | | | |
| (C) | Shares held by Custodians and | | | | | | | |
| 1 | Promoter and Promoter Group | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| 2 | Public | | | | 0.00 | 0.00 | | |
| | Sub-Total (C) | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 |
| | | | | | | | | |
| | GRAND TOTAL (A)+(B)+(C) | 14040 | 13579161 | 9974205 | 100.00 | 100.00 | 0 | 0.00 |

$(I) (b) \ \underline{Statement\ showing\ holding\ of\ securities\ (including\ shares,\ warrants,\ convertible\ securities)\ of\ persons\ belonging\ to\ the} \\ \underline{category\ "Promoter\ and\ Promoter\ Group"}$

| Sr. No. | Name of the shareholder | Details of S | hares held | En | Encumbered shares (*) | | | | | of convertible curities | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|------------|--|------------------|-------------------------------|-----|-----------------------|--------------------------|--------|--------------------|-----------------|----------------------------|---|
| | | 1 | | No. | As a | As a % of | | As a % | Numbe | As a % | |
| | | shares held | grand total | | percentage | grand total (A)+(B)+(| | total number of | r of convert | total number of | |
| | | 11010 | $(\mathbf{A}) + (\mathbf{B})$ | | | C) of sub- | s | warrants | ible | convertible | |
| | | | +(C) | | | clause (I)(a | held | of the | securiti | securities | |
| | | | | | |) | | same | es | of the same | |
| (I) | (II) | (III) | (IV) | (V) |)=(V)/(III)* | (VII) | (VIII) | class (IX) | held (X) | class (XI) | (XII) |
| | RAJESH SHARMA | 625436 | 4.61 | (*) | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 4.61 |
| 2 | MAHABIR PRASAD PATNI | 487704 | 3.59 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 3.60 |
| 3 | GOPAL SHANKAR RANGANATHAN | 170410 | 1.25 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 1.26 |
| 4 | DINESH SHARMA | 375100 | 2.76 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 2.77 |
| 5 | AANKUR PATNI | 234668 | 1.73 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 1.73 |
| 6 | BIMAL JAIN | 208044 | 1.53 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 1.53 |
| 7 | POONAM SHARMA | 49650 | 0.37 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 0.37 |
| 8 | ARUNA SHARMA | 25000 | 0.18 | 0 | 0.00 | 0.00 | | 0 | 0 | 0 | 0.18 |
| 9 | UMA RANGANATHAN | 29065 | 0.21 | 0 | 0.00 | 0.00 | | 0 | 0 | 0 | 0.21 |
| _ | NIRMALA PATNI | 8300 | 0.06 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 0.06 |
| 11 | AHALYA RANGANATHAN | 7998 | 0.06 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 0.06 |
| 12 | ANITA JAIN | 5546 | 0.04 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 0.04 |
| 13 | PALLAVI SHARMA | 2000 | 0.01 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 0.01 |
| 14 | NIDHI PATNI | 1000 | 0.01 2.11 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 0.01 2.12 |
| 15 16 | ION EXCHANGE SERVICES LTD AQUA INVESTMENTS (INDIA) LTD | 287058 164000 | 1.21 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 1.21 |
| 17 | WATERCARE INVESTMENTS (INDIA) LTD | 164000 | 1.21 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 1.21 |
| 18 | V G RAJADHYAKSHA A/C HMIL TRUST | 9600 | 0.07 | 0 | 0.00 | 0.00 | | 0 | 0 | 0 | 0.07 |
| 19 | TRUST (IEIL) | 2662914 | 19.61 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 19.64 |
| | | 2002711 | 17.01 | | 0.00 | 0.00 | Ŭ | | Ŭ | | 17.0. |
| TOTA | AL | 5517393 | 40.63 | 0 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | 40.68 |

$(I)(c)(i) \ \, \underline{Statement\ showing\ holding\ of\ securities\ (including\ shares,\ warrants,\ convertible\ securities)\ of\ persons\ belonging\ to\ the} \\ \underline{category\ ``Public''\ and\ holding\ more\ than\ 1\%\ of\ the\ total\ number\ of\ shares}$

| Sr. No. | Name of the shareholder | Number of shares held | of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at | Number of warrant s held | number of warrants of | Secu Number of | % w.r.t total number of convertible securities of the same class | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|--|--------------------------|--|--------------------------------------|-----------------------------|----------------------|--|--|
| 1 | RAKESH JHUNJHUNWALA | 875000 | 6.44 | 0 | 0 | 0 | 0 | 6.45 |
| 2 | HAMPTON INVESTMENTS PRIVATE LIMITED | 444174 | 3.27 | 0 | 0 | 0 | 0 | 3.16 |
| 4 | GENERAL INSURANCE CORPORATION OF INDIA | 166230 | 1.22 | 0 | 0 | 0 | 0 | 1.23 |
| 5 | THEKKEKARA MELOTH MOHAN NAMBIAR | 136200 | 1.00 | 0 | 0 | 0 | 0 | 1.00 |
| TOTAL | <u> </u> | 1621604 | 11.94 | 0 | 0 | 0 | 0 | 13.05 |

 $(I)(c)(ii) \ \underline{Statement\ showing\ holding\ of\ securities\ (including\ shares,\ warrants,\ convertible\ securities)\ of\ persons\ (together\ with\ \underline{PAC})\ belonging\ to\ the\ category\ "Public"\ and\ holding\ more\ than\ 5\%\ of\ the\ total\ number\ of\ shares\ of\ the\ company}$

| Sr. No. | Name(s) of the | Number | Shares as a | Details o | f warrants | Details of | Total shares | | |
|---------|-----------------------|-----------|---|--------------------------|---|--|--------------|--|--|
| | shareholder(s) and | of shares | percentage of | | | | securities | | |
| | the Persons Acting in | | total number of | | | | | underlying | |
| | Concert (PAC) with | | shares | | | | | shares | |
| | them | | {i.e., Grand Total | | | | | assuming full | |
| | | | $(\mathbf{A})+(\mathbf{B})+(\mathbf{C})$ | | | | | conversion of | |
| | | | indicated in Statement at para (I)(a) above} | Number of warrants | As a % total number of warrants of the same class | Number of convertible securities held | | warrants and convertible securities) as a % of diluted share capital | |
| 1 | RAKESH JHUNJHUNWALA | 875000 | 6.44 | 0 | 0 | 0 | 0 | 6.45 | |
| | | | | | | | | | |
| TOTAL | | 875000 | 6.44 | 0 | 0 | 0 | 0 | 6.45 | |

(I)(d) Statement showing details of locked-in shares

| Sr. No. | Name of the shareholder | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---------|------------------------------|----------------------------------|--|
| 1 | ANIL K KHERA | 22,000 | 0.16 |
| 2 | ANIL MANOCHA | 25,000 | 0.18 |
| 3 | CHARMAINE SEQUEIRA | 10,000 | 0.07 |
| 4 | CLIFFORD FREDRICK DSOUZA | 4,000 | 0.03 |
| 5 | DALJIT SINGH MOTHSARA | 1,500 | 0.01 |
| 6 | DAVID V ALVA | 1,000 | 0.01 |
| 7 | G ANAND . | 200 | 0.00 |
| 8 | G SRIDHAR | 12,000 | 0.09 |
| 9 | K SUNDARAMOORTHY . | 200 | 0.00 |
| 10 | KANAILAL BHATTACHARYA | 3,500 | 0.03 |
| 11 | KENI RAVINDRA MADHUSUDAN | 6,000 | 0.04 |
| 12 | KOUL VERENDER KUMAR | 10,000 | 0.07 |
| 13 | KRISHNA MOHAN | 1,500 | 0.01 |
| 14 | KUNNATH MADHAVAN VENUGOPALAN | 300 | 0.00 |
| 15 | L V KESHAV | 10,000 | 0.07 |
| 16 | LEELA KUMARI . | 100 | 0.00 |
| 17 | MANISH GANDHI | 7,500 | 0.06 |
| 18 | MILIND PURANIK | 11,000 | 0.08 |
| 19 | NANDKUMAR MANOHAR RANADIVE | 7,000 | 0.05 |
| 20 | NATARAJAN ANBANANTHAN | 3,000 | 0.02 |
| 21 | NITIN LONKAR | 150 | 0.00 |
| 22 | NITIN P DESHPANDE | 5,000 | 0.04 |
| 23 | OSCAR PINTO | 300 | 0.00 |
| 24 | P V SURESH | 500 | 0.00 |
| 25 | PATEL JAGDISHBHAI NAGJIBHAI | 200 | 0.00 |
| 26 | POPAT AJAY | 10,000 | 0.07 |
| 27 | PRADIP MUKUND NAWATHE | 7,000 | 0.05 |
| 28 | R SANKAR | 15,000 | 0.11 |
| 29 | REHANA TAJ R | 200 | 0.00 |
| 30 | RENU VIVEK SARAF | 1,000 | 0.01 |
| 31 | SHASHIKANT SITARAM RAUT | 9,000 | 0.07 |
| 32 | SRINIVAS NAGARAJ IYENGAR | 14,500 | 0.11 |
| 33 | VASANT JANARDHAN NAIK | 9,500 | 0.07 |
| 34 | VISHWANADH KODIMARU THANTRY | 1,500 | 0.01 |
| 35 | JITENDRA PADMAKAR PATHARE | 15000 | 0.11 |
| 36 | FLORA D'SOUZA | 200 | 0.00 |
| 37 | VIKAS SUKLAL PATIL | 200 | 0.00 |
| 38 | S S RAUT | 1000 | 0.01 |
| 39 | VICTOR RAJ S. | 1500 | 0.01 |
| 40 | PARESH PUNDALIK BALLIKAR | 1100 | 0.01 |
| | GRAND TOTAL | 228,650 | 1.68 |